

**PCT**

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification 6 :</b> <b>H04Q 9/02</b>	<b>A1</b>	<b>(11) International Publication Number:</b> <b>WO 96/41495</b> <b>(43) International Publication Date:</b> 19 December 1996 (19.12.96)
---	-----------	---

**(21) International Application Number:** PCT/US96/10091

**(22) International Filing Date:** 7 June 1996 (07.06.96)

**(30) Priority Data:**  
08/474,082 7 June 1995 (07.06.95) US

**(60) Parent Application or Grant**  
**(63) Related by Continuation**  
 US 08/474,082 (CIP)  
 Filed on 7 June 1995 (07.06.95)

**(71) Applicant (for all designated States except US):** THE NPD GROUP, INC. [US/US]; 900 West Shore Road, Port Washington, NY 11050-0402 (US).

**(72) Inventors; and**  
**(75) Inventors/Applicants (for US only):** COFFEY, Steven, R. [US/US]; 487 Springs Fireplace Road, East Hampton, NY 11937 (US). PINSLEY, David, B. [US/US]; 8 Barstow Road 5D, Great Neck, NY 11021 (US). POLONIEWICZ, Karen, A. [US/US]; 2 Buckingham Meadow Road, East Setauket, NY 11733 (US). COSTELLO, Stephen, J. [US/US]; 122 John Street, Hauppauge, NY 11788 (US). STANZIANI,

Steven, N. [US/US]; 236 Floral Avenue, Plainview, NY 11803 (US).

**(74) Agents:** KOCH, Robert, J. et al.; Fulbright & Jaworski L.L.P., 801 Pennsylvania Avenue, N.W., Washington, DC 20004 (US).

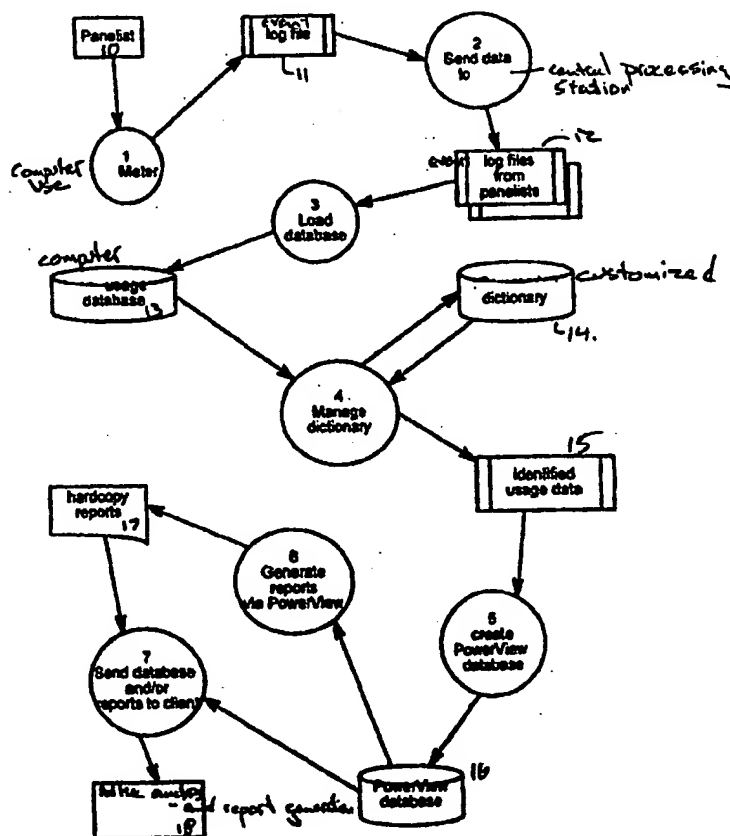
**(81) Designated States:** AL, AM, AT, AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, DE, DK, EE, ES, FI, GB, GE, HU, IL, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARIPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).

**Published**  
With international search report.

**(54) Title:** COMPUTER USE METER AND ANALYZER

**(57) Abstract.**

The subject system measures and reports the use of a personal computer by a user through a log file (11). The log file (11) includes entries corresponding to pre-determined events and can report on the applications used and communication functions engaged in by the user. The log files (11) from one or more computers may be assembled and analyzed in order to ascertain computer use habits for computer software, computer hardware and computer communications. The system may also be used to predict computer use trends and to represent computer use history.



**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

## Computer Use Meter and Analyzer

### Background of the Invention

#### 1. Field of the Invention

The invention relates to a system for monitoring computer use and,  
5 more particularly, a system for collecting, logging, and analyzing  
preselected operations in a personal computer and reporting use trends.

#### 2. Description of the Related Technology

The popularity of personal computers has exploded in the past  
decade. Each year more and more people have used more and more  
10 personal computers in more and more ways. This rapid expansion has  
resulted in an astronomical increase in the amount of money spent on  
computers, computer related services, computer software, computer  
peripherals and electronic information and information services. In just  
the past few years, on-line services such as CompuServe, Prodigy, and  
15 America On-Line have gone from virtual obscurity to household words.  
Our children learn to surf the "net" before they can ride bicycles.  
Heretofore, there has been no effective reliable mechanism to measure  
computer usage on a local or broad basis.

Organizations which sell any computer related products or services  
20 or which conduct any marketing activity in any electronic format will have  
an intense need to measure use of computer related resources and  
dissemination of electronic information. In much the same fashion that  
television advertisers rely on television ratings and viewer demographics  
to evaluate television marketing, "electronic" advertisers and sellers and  
25 producers of computer related products and services will need to evaluate  
information on computer use and "on-line ratings."

### Summary of the Invention

It is an object of the invention to facilitate the collection of reliable information regarding the use of personal computer software.

It is a further object of the invention to facilitate the collection of reliable multi-media viewing statistics of commercial on-line services as well as access to the "information superhighway," including the use of the Internet's World Wide Web.

It is a further object of the invention to facilitate the establishment of a panel of personal computer using households in order to develop useful reports for a number of different industries including, without limitation, software manufacturers, commercial on-line service providers, computer hardware manufacturers, and on-line marketers.

According to the invention, a system may be provided to intercept and monitor operating system messages. Such messages may be targeted instructions to various applications or operating system overhead messages. Such messages may be generated internally by the operating system software, such as the Microsoft Windows operating environment. A system according to the invention may filter through a vast array of messages and capture only specific messages, such as those messages which indicate a change in focus from one application to another. These changes in focus may include but are not limited to an application launch, the termination of an application, switching resources from one application to another, minimizing an application, or restoring an application.

According to the invention, operating system messages may be intercepted and relevant messages may be recorded in a log file, along with other pertinent or useful information. Such pertinent or useful information may include date stamps, time stamps, message types such as launch, terminate, switch, minimize, restore, etc., household ID numbers, identification of individual users within a household, identification of an application's executable program file name and file size, an application's Window title, and the handle to the "instance" of the application. A

household identification number may be a number which uniquely identifies a personal computer within a "panel" of households, each of which is contributing data to the system through use of a local metering system.

- 5           Advantageously, the system according to the invention may be utilized in association with a plurality of computers and computer users. The computers and computer users associated with the system may be intended to be representative of a much larger universe of users. This is analogous to collection of television viewing information used to establish  
10 television ratings based on a limited number of households. The limited number of households is considered representative of the overall computer use or "viewing."

- According to the invention, a meter application installed in a personal computer may log events for top-level Windows for any given  
15 application. Events which are specific to child Windows of an application may not necessarily be logged. For certain applications, additional detailed event logging for such child Windows will occur. If an application is targeted for more detailed logging, the messages indicating the creation of child Windows will be logged. The entries into the log file will  
20 advantageously include at least date, time, household ID number, individual within the household using the computer, the handle to the instance of the parent application, the parent application's current Window title, and the child Windows title.

- In addition, it is an object of the invention to monitor and log  
25 certain external communications. The local meter application will monitor strings of characters sent to a communication port such as a modem. The system may be set to monitor for certain predetermined character strings and log certain information upon occurrence of such strings. If, for example, the system detects a "http:" string, then the system will recognize  
30 that what follows should be the remainder of a Universal Resource Locator (URL) for a hypertext protocol site on the Internet's World Wide Web. If the local meter application identifies a URL, it will intercept and log the full URL. In this situation, the log entry may include at least the

date, time, household ID number, individual user within the household, the handle to the instance of the parent application, the parent application's current Window title, and the Universal Resource Locator (URL).

5       According to the invention, the application may also generate specialized logs or log specialized events. These specialized logs/events may focus on preselected applications or a class of applications, such as on-line services or internet browser requests. Advantageously, a timer may be utilized to trigger a periodic audit of the focus of a personal  
10 computer. The periodic audit may be conducted, for example, every half-second or at such other intervals as may be appropriate. The interval may be longer to conserve processing resources at the expense of risking missing a shift in focus.

      According to one feature of the invention, the periodic audit may  
15 poll the operating system for all open windows in order to determine if preselected applications are running. Such preselected applications may include on-line service applications, such as America Online, Prodigy, the Microsoft Network or Compuserve. If such an application is running, the meter application may log the textual content of the title bars of the  
20 application and the top window in such application. Advantageously, the system may log the title bar only if the textual contents of the title bars of the application or the top window have changed since the previous audit. In addition, each audit may monitor open windows for edit boxes. Upon discovering an edit box in an open window, the system may examine  
25 the syntax of the content of the edit box in order to determine if it is consistent with a URL. If so, the URL may be logged in the event log or a specialized log.

      According to the invention, the meter application may have a number of other "housekeeping" features, including a mechanism for  
30 installing the meter application on the computer, a data compression and encryption system for the data log, a log transfer mechanism, a self updating software feature, and a user.

The data compression and encryption system may advantageously be provided to minimize the memory resources which must be allocated to storage of the event log and may encrypt the event log in order to enhance the security of the information contained therein.

- 5       The data transfer system can be provided to transfer the local personal computer use log to a central processing system. The central processing system may assimilate many local personal computer use logs, translate the log information into useful information, evaluate the information, and create various reports and analyses of computer use.
- 10   The transfer may be accomplished by any of a number of different mechanisms including automated, user initiated, or central office initiated electronic transfers, or local downloading to a magnetic storage medium such as a diskette, and delivery of the diskette to the central processing location.

- 15       The computer use meter and its supporting software may from time to time undergo system updates. These updates are intended to add features to the software and to correct any system bugs. Each panelist will be contacted on a fixed cycle, advantageously once per month, for the purpose of collecting the prior month's logged activity. This process may
- 20   occur via a diskette mailed to the panelist, or telecommunicated via modem. The data transfer program, delivered on whatever medium, may first check for any outstanding software upgrades. If one is scheduled, then software will automatically be transferred to the panelist's computer.

- The system may include a questionnaire which is invoked in certain
- 25   circumstances, such as after use of a particular class of software, type of application, or periodically the user may be prompted to input desired information which may be stored in the event log or in a separate file. The data transfer system may be used to upload the responses and download additional questions or triggers.

- 30       One of the advantages of the system according to the invention is the recordation of changes in processor focus in the event log. Software marketers have a pressing need for information about how users behave with their products. "Use" information is typically gathered, if at all,

through paper questionnaires or telephone interviewing. In such situations, the respondent is asked to recall their own uses of the product in question. This technique exhibits significant flaws, including the limitations and inaccuracies inherent in human recollection, the  
5 limitations on the amount of time the individual computer user may be willing to spend to provide such information, and that the individual responding to the questionnaire may be only one of the members of the household using the computer system and may not have all of the pertinent information.

10 The system according to the invention overcomes the aforementioned deficiencies. After an initial installation process, the system may be completely passive. That is, the computer user does not have to take any additional action for the system to operate effectively. The use of any software product or application program may automatically  
15 trigger an event message in the operating system environment which may be recorded by the system. Far more information may be gathered in this automated fashion by the computer than by subsequent interaction with a computer user. For example, information relating to the date and time of an event may easily be captured using the computer's internal clock  
20 and calendar, where such information would not normally be recalled by a user during a subsequent personal report. The construction of the event log is typically extremely rich in useful information and will enable classification of software usage at least by software title, classification of software subcategory (e.g., spreadsheets, screen savers, communications  
25 software, Personal Information Managers, word processors, etc., or by household demographics, e.g., presence of children, income, geography, etc. The system according to the invention will also be able to measure software use by total minutes of use, i.e., cumulative focus minutes, frequency of use, i.e., number of accesses in a given time frame, and share  
30 of computer use.

According to an additional advantageous feature, the system will enable detailed logging for certain applications. The commercial on-line service industry is highly competitive, and with Microsoft entering the



industry the competition will be increasing. Currently, the three largest providers, CompuServe, Prodigy and America On-Line, each have roughly two million subscribers. The single biggest challenge for these organizations is to reduce subscriber attrition and increase the length of time any individual maintains a subscription. Attrition is in effect a measure of customer satisfaction and inversely related to an on-line service's revenue stream. Reducing attrition means keeping subscribers longer and increasing revenue.

The system according to the invention may advantageously collect child Window information for commercial on-line service providers and user applications. Window titles of these applications' child Windows generally hold useful descriptions of the activity at that moment. For example, if a subscriber is using the mail system for that service, then the Window title will so indicate. The system according to the invention will record those titles in the log file.

To illustrate, the Window title for the window for writing an electronic mail message is "Create Mail" in CompuServe, "Write" in Prodigy, and "Compose Mail" in America On-Line. The collection and analysis of this information is valuable for on-line service providers in many ways which include, but are not limited to, distribution of time spent in various features on competitive services, identification of which features of each are preferred by users of multiple services, and identification of which features of various services are popular and how attention to these features changes relative to the length of subscription membership. The information recorded in the log is valuable for on-line service providers because it can give them direct feedback about customers and point out areas for improvement of the services. The system according to the invention can also measure traffic within an on-line content area of a commercial on-line service, such as electronic magazines and newspapers. As publishers move to on-line media, media traffic statistics become critical. It provides a media planner with important input. In addition, an advantageous feature of the invention provides for interception and logging of communication port or modem traffic. As an

extension of tracking activities on commercial on-line services, the system according to the invention may create a full picture of on-line activity if it also measures traffic on other communication channels such as the Internet World Wide Web. Internet sites on the web are addressable by  
5 a Universal Resource Locator scheme.

On-line marketers are trying to understand the characteristics of Web traffic and how much time users spend at different sites. These traffic statistics become fundamental inputs for media planning, in a fashion analogous to using TV ratings as a basis for buying or pricing  
10 commercial time.

According to an additional advantageous feature, the system includes automated file management functions. These functions are needed to keep the system from interfering with the efficient operation of the host computer. Event log files can grow quite large. Keeping the log  
15 as small as possible may be important. Data compression techniques may be utilized to reduce the size of the event log. In addition, the system according to the invention must maintain as passive a profile as possible. Thus, the automated installation and data transfer programs will reduce the interference with the use of the computer by the user and minimize  
20 the impact on any particular user.

#### Brief Description of the Drawings

Figure 1 shows a flow diagram for the invention.

Figure 2 shows an embodiment of the invention.

Figure 3 shows transmission of messages and events.

25 Figure 4 shows responses to messages.

Figure 5 shows an audit-based subsystem.

### Detailed Description of the Preferred Embodiments

According to an embodiment of the invention, a system is provided to collect, process and deliver information regarding use of personal computer resources. Figure 1 illustrates a flow diagram of an embodiment according to the invention. A computer use meter 1 may be installed on a personal computer owned and/or operated by a panelist or group of users 10. The panelist advantageously would designate the members of a particular household and may constitute one or more individuals. The computer use meter advantageously generates an event log file 11. A mechanism 2 is provided to transmit the event log files to a central processing station. The transmission may be accomplished by transfer to a portable medium such as a floppy disk or over a communication channel such as a telephone link or by electronic mail. The transmission may be or triggered by any predetermined criteria such as time, number of logged events, size of log file, resources used or available or any combination thereof. The central processing station accumulates log files from a plurality of separate computer use meters 12. The central processing station at location 3 will load a data base 13 with the information from the plurality of event log files. The central processing station may be a micro processor based computer and may utilize a variety of commercially available and/or custom developed data base management systems 4 to manage the computer use data base 13 and create a customized data dictionary 14. The customized data dictionary is provided to interpret the raw data provided by the event log files. In addition, the data base management system 4 may extract useful information, provide preliminary processing and/or analysis of the accumulated event log files. The system will also identify usage data at location 15. Any logged event which is not recognized by the customized dictionary will be noted as an exception and stored for subsequent manual identification. User or manual intervention at this stage may enable further identification and the customized dictionary 14 may be updated so that subsequent occurrences of a similar event will be automatically identified by the customized dictionary 14. All

log events identified either through the customized dictionary or through manual intervention 15 will be utilized to create a raw data base of information at location 5. This data base may be organized by different database management systems. It is contemplated that the data base may  
5 be in the form of a NPD/POWVIEW data base 16, commercially available from The NPD Group, Inc.

At location 6, the Powerview data base management system may generate reports or preprocess information in the data base for subsequent analysis 7. The system may generate reports showing information derived  
10 from the data base elements maintained. The data may be organized and reported in virtually any desired formula and organization. Given the strengths and weaknesses of various commercially available data base management systems, the data may be processed through one or more data base management systems to generate hard copy reports 17 or to  
15 generate a sub-database which may utilized for ad hoc analysis or report generation 18.

Figure 2 shows a computer use meter according to the invention with a representation of information and data flow installed in an IBM-compatible personal computer operating in the Windows environment.  
20 The Windows environment internally generates messages used by various modules to manage the operation of the computer and allocate its resources. Most application program development does not require treatment with the internal overhead handled by the operating system. The Windows environment handles a vast array of overhead functions  
25 through the use of internal drivers. The internal drivers may include a Windows keyboard driver 20 and a Windows mouse drive 21. These drivers manage the overhead of manipulating mouse pointers, clicking the mouse buttons and entering information on the keyboard. User interface events such as mouse events and keyboard events are transmitted to a  
30 Windows user module 22.

As shown in figure 2, the main operating module 23 of the computer use meter is identified as RITA and operates within its own window. The Windows user module 22 generates application-specific

messages such as WM\_CREATE, WM\_SYSCOMMAND, WM\_COMMAND, WM\_QUERYENDSESSION, and WM\_DESTROY. These messages are intended only for specific main window application modules. RITA module 23 monitors these messages for commands invoking the RITA application main window 23. After being invoked, the HOOKS DLL module 24 operates to provide messages to the RITA main window module 23. During operation of the personal computer, the Windows user module 22 generates Windows call-back or "CBT" events. Certain specific events are intercepted by the HOOKS DLL module and transmitted to the RITA main module 23. Upon receipt of such messages, the RITA main window sends certain messages to the RITA logging subsystem 24. In addition, the RITA main window 23 transmits program run time parameters to the RITA INI file subsystem 25. The RITA INI file subsystem will communicate with a panelist information dialogue box 26 for the purposes of panelist name collection. The panelist information dialogue box 26 will also transmit an active user name to the RITA main window 23. The active user name is utilized in connection with the logging operation.

Figure 3 illustrates transmission of Windows CBT events and Windows user defined messages. Upon the Windows user module 22 generating an HCBT\_ACTIVATE message, such message is recognized and registered by the HOOKS DLL module. The HCBT\_ACTIVATE indicates identification of the currently active window and the window about to be activated. Upon receipt of the HCBT\_ACTIVATE, the HOOKS DLL module will post a WH\_HCBT\_ACTIVATE message to indicate the handle for logging by RITA of the window being activated. A handle is an integer, uniquely identifying an active process in Microsoft Windows. Upon interception of an HCBT\_CREATEWND message indicating the handle of the newly created window from the Windows user module 22, the HOOKS DLL module 24 will post a WH\_HCBT\_CREATEWND to RITA for logging. Upon interception of an HCBT\_DESTROYWND message from the Windows user module 22 indicating the handle of a window about to be destroyed, the HOOKS DLL module 24 will send a WH\_HCBT\_DESTROYWND message to the RITA

main window 23 for logging. Upon interception of an HCBT\_CBT\_MINMAX message from the Windows user module indicating the handle of a window about to be minimized or maximized, the HOOKS DLL module 24 will post a WH\_HCBT\_CBTMINMAX message to the  
5 RITA main window 23. The HCBT\_CBTMINMAX message may take several forms, each being operation codes which will indicate if the window is being minimized, maximized, restored, etc. Depending on the operation code intercepted, the HOOKS DLL will post one of several messages to RITA for logging, including an SW\_HIDE,  
10 SW\_SHOWMINIMIZED, SW\_MINIMIZE, SW\_RESTORE, SW\_MAXIMIZE, SW\_NORMAL or SW\_SHOW.

Figure 4 illustrates the RITA main window 23 response to Windows application messages generated by the window user module. Block 27 illustrates the RITA response to a WM\_CREATE message. A  
15 WM\_CREATE message indicates that the application is starting. The response will be to prompt the panelist ID message (if this is the first time the application is run), to log a METER operation code entry, to log a PANEL operation code entry, to log a START operation code entry, and to log one or more RUNNG tasks entries. The prompt for panelist ID  
20 allows the panelist to uniquely identify him/herself with a predetermine seven digit number. Each event that is logged requires construction of a log entry as described below. Each entry will include an operation code indicative of the type of event being logged. RUNNG tasks entries are logged for any Windows application which is already running when the  
25 computer use meter is invoked through the main RITA module. Block 28 indicates the RITA response to a WM\_SYSCOMMAND message. A WM\_SYSCOMMAND message indicates that the user has activated the HTI icon from the Windows desktop. The response will be to change the user dialogue and to log a PANEL operation code entry. Changing the  
30 user dialogue affects the user name stored in subsequent logged records. Block 29 indicates the RITA response to a WM\_QUERYENDSESSION message. A WM\_QUERYENDSESSION message indicates that Windows is shutting down, but first passing control to RITA so that it may close

itself cleanly. The response will be to log a STOPM operation code entry and to flush the log. Block 30 illustrates the RITA response to the WM\_DESTROY message generated by the user module. A WM\_DESTROY message indicates the user explicitly closed RITA. The response is to log a STOPM operation code entry and to flush the log. The log flush operation constitutes writing to the log file any records that are still held in memory.

The log file may use a fixed column format to store data. The first column may contain a logging sequence number that may be used for post processor parsing. The log file may also include columns for a date stamp and a time stamp to be applied to each record. The next column may include an operation code as follows:

15	RUNNG PANEL	This designates the tasks that are already running Panelist name and identification are located in record data portion
	METER	application log and version information
	START	Starts the meter
	ACTVT	Notes that a top level window task is activated
	TSTRT	Notes that a top level widow task has started
20	TSTOP	Notes a top level window task has stopped
	MINIM	Notes that a top level window has been minimized
	RESTO	Notes that a top level window has either been restored from its iconic states or restored to its original state from a maximized state
25	STOPM	stops the meter
	INTRV	Interview record data

The following represents an example of log entries.

5	00001	05/25/95	10:40:27	METER	1234561 0000	[D=02.00-02]
	00002	05/25/95	10:40:27	PANEL	1234561 0000	[D=John Doe]
	00003	05/25/95	10:40:27	START	1234561 0000	[D=ini StartTask=1 EndTask=1 Minimize=2 Maximize=1 Activate=1 Restore=1 Running=1]
	00004	05/25/95	10:40:27	RUNNG	1234561 2a96	[D=C:\DOS\MOUSE\POINTS R.EXS] [T=Pointer Options] [S=10432]
	00005	05/25/95	10:40:27	RUNNG	1234561 201e	[D=C:\WINDOWS\NETDDE. XS] [T=NetDDE] [S=\$2432]
10	00006	05/25/95	10:40:27	RUNNG	1234561 1f6e	[D=C:\WINDOWS\SYSTEM\ DDEML.DLL] [S=39424]
	00007	05/25/95	10:40:27	RUNNG	1234561 0736	[D=C:\WINDOWS\SYSTEM\ USER.EXE] [S=264096]
	00009	05/25/95	10:40:27	RUNNG	1234561 37de	[D=C:\HTT\HTT\EXE] [T=HTT] [S=55656]
	000010	05/25/95	10:40:27	MIMIM	1234561 37de	[D=C:\HTT\HTT\EXE]
	000011	05/25/95	10:40:28	ACTVT	1234561 164e	[D=C:\APPWIN\DASH\DASH. EXE]
15	000014	05/25/95	10:40:29	TSTRT	1234561 357e	[D=C:\APPWIN\OMGUI\ OMGUI.EXE] [T=OpenMail user 'pinsley' on server 'nyl'] [S=443360]
	000015	05/25/95	10:40:33	TSTRT	1234561 36d6	[D=C:\WINDOWS\UALALLOC. EXE] [S=3776]
	000016	05/25/95	10:40:33	TSTRT	1234561 08ce	[D=C:\WINDOWS\SYSTEM\ HSASRV.EXE] [T=Windows Sockets Asynchronous Request Server] [S=6505]
	000017	05/25/95	10:40:34	TSTOP	1234561 357e	[D=C:\APPWIN\OMGUI\OMG ULEXE]
	000018	05/25/95	10:40:34	TSTRT	1234561 357e	[D=C:\APPWIN\OMGUI\OMG ULEXE]



	000019	05/25/95	10:40:34	ACTVT	1234561 164e	[D=C:\APPWIN\DASH\DASH.EXE]
	000022	05/25/95	10:40:36	ACTVT	1234561 164e	[D=C:\APPWIN\DASH\DASH.EXE]
	000024	05/25/95	10:40:36	TSTOP	1234561 1f6e	[D=C:\WINDOWS\SYSTEM\DDEML.DLL]
	000025	05/25/95	10:40:36	TSTOP	1234561 1f6e	[D=C:\WINDOWS\SYSTEM\DDEML.DLL]
5	000026	05/25/95	10:40:40	ACTVT	1234561 164e	[D=C:\APPWIN\DASH\DASH.EXE]
	000027	05/25/95	10:40:40	TSTRT	1234561 2fe6	[D=C:\WINDOWS\CALC.EXE] [T=Calculator] [S=43072]
	000028	05/25/95	10:40:41	ACTVT	1234561 164e	[D=C:\APPWIN\DASH\DASH.EXE]
	000029	05/25/95	10:40:43	ACTVT	1234561 164e	[D=C:\APPWIN\DASH\DASH.EXE]
	000030	05/25/95	10:40:43	TSTRT	1234561 3016	[D=C:\WINDOWS\CARDFILE.EXE] [T=Cardfile - (Untitled)] [S=93184]
10	000031	05/25/95	10:40:44	ACTVT	1234561 164e	[D=C:\APPWIN\DASH\DASH.EXE]
	000032	05/25/95	10:40:46	ACTVT	1234561 164e	[D=C:\APPWIN\DASH\DASH.EXE]
	000033	05/25/95	10:40:46	TSTRT	1234561 2b16	[D=C:\WINDOWS\notepad.EXE] [T=Notepad - (Untitled)] [S=32736]
	000034	05/25/95	10:40:46	ACTVT	1234561 2b16	[D=C:\WINDOWS\notepad.EXE]
	000035	05/25/95	10:40:51	ACTVT	1234561 3016	[D=C:\WINDOWS\CARDFILE.EXE]
15	000036	05/25/95	10:40:57	ACTVT	1234561 2fe6	[D=C:\WINDOWS\CALC.EXE]
	000037	05/25/95	10:40:58	MINIM	1234561 3016	[D=C:\WINDOWS\CARDFILE.EXE]
	000038	05/25/95	10:40:58	ACTVT	1234561 3016	[D=C:\WINDOWS\CARDFILE.EXE]
	000039	05/25/95	10:41:00	ACTVT	1234561 2b16	[D=C:\WINDOWS\notepad.EXE]
	000040	05/25/95	10:41:02	TSTOP	1234561 2b16	[D=C:\WINDOWS\notepad.EXE]

	000041	05/25/95	10:41:02	ACTVT	1234561 3016	[D=C:\WINDOWS\CARDFILE. EXE]
	000042	05/25/95	10:41:04	ACTVT	1234561 2fe6	[D=C:\WINDOWS\CALC.EXE]
	000043	05/25/95	10:41:05	RESTO	1234561 2fe6	[D=C:\WINDOWS\CALC.EXE]
	000044	05/25/95	10:41:06	TSTOP	1234561 2f36	[D=C:\WINDOWS\CALC.EXE]
5	000045	05/25/95	10:41:06	ACTVT	1234561 3016	[D=C:\WINDOWS\CARDFILE. EXE]
	000046	05/25/95	10:41:08	TSTOP	1234561 3016	[D=C:\WINDOWS\CARDFILE. EXE]
	000047	05/25/95	10:41:08	ACTVT	1234561 164e	[D=C:\APPWIN\DASH\DASH. EXE]
	000048	05/25/95	10:41:16	ACTVT	1234561 164e	[D=C:\APPWIN\DASH\DASH. EXE]
	000049	05/25/95	10:41:19	STOPM	1234561 0000	[D=Windows Shutdown]

10 As can be seen from the log entry table, each entry includes a sequence number, date stamp, time stamp, operation code or event type, and data field. The information contained in the data field is dictated by the event type. In the example shown, the next field contains the panelist or user identification, in this case "1234561" followed by a field containing the handle to the instance of the application, if any. Log record sequence

15 number 00001 has a METER operation code entry which records the application, logo and version information in the data field. In the log entry having sequence number 00002, the PANEL operation code is presented to store the panelist's name and may store other identification information.

20 In the example given, the name recorded is John Doe. Upon application start-up, a START operation code log entry is made which records configuration information in the data field. According to an advantageous embodiment, the computer use meter is automatically minimized after start-up. Other relevant information may be included in the data portion

25 of log entries for various event types. The data field may include different information identified by label. In the example shown the label "S" identifies the file size of an application. The label "T" identifies the Windows title of the application. The label "D" identifies miscellaneous

data, typically the full path of the application. Other labels and information could also be logged.

According to a further embodiment of the invention, the use meter may log additional events either in the same log file or in auxiliary logged files. The additional events may be logged based on a periodic audit. The periodic audit may be triggered by a timer. That timer is advantageously implemented in software and may run in its own window or on a systems level. Advantageously, an audit is triggered every one-half second, although other trigger periods may be selected. The use meter may periodically audit computer use for on-line program activity. The meter may be set to audit the computer for predetermined or preselected computer applications or applications which rely on predetermined resources such as modem access. The preselected computer applications may be known online service access and interface applications such as those provided by America Online, Compuserve, Prodigy or the Microsoft Network. If the audit reveals that one of the preselected applications is displayed or, alternatively, active, information from the application window and the top window may be logged. Advantageously, the logged information may be the textual content of the application's title bar and that of the top window of such an application. According to a preferred refinement, the system will only log the textual content of the title bars if the contents of the application or top window title bars are different from the previously logged title bars for the same application.

Another embodiment of the periodic auditing feature includes periodically auditing preselected types of display elements. In the Windows operating system, each displayed window is made up of a number of display elements. The display elements include *inter alia* edit boxes and buttons. According to a preferred feature, the content of edit boxes may be examined. If the content is consistent with a predetermined criteria, the content will be logged. For example, it is assumed that, if the content of an edit box is consistent with the syntax for a URL, then the window corresponds to an internet browser program. Logging the content of the edit box will be indicative of the user's access of documents on the internet.

Figure 5 shows an audit based use meter. The audit based subsystem includes a timer 40. The timer 40 triggers the audit module 41. The audit module compares the content of an audit target 42 against predetermined criteria 43. If the predetermined criteria are satisfied, the audit module creates an entry in the event log 44. The target may be a display or other information indicative of computer use and activity. The criteria 43 may be an identification of predetermined application programs, Windows, or a syntax such as, for example, a syntax consistent with a universal resource locator for the Internet or, more particularly, for the Worldwide Web.

It will be understood by those of ordinary skill in the art that the use meter, according to the invention, may be altered in various ways within the basic concept of the invention.

I claim:

- 1        1.     A computer use monitoring system comprising:  
2        a plurality of local computer use meters installed in user computer  
3 machines, each including a log of predetermined machine operation events  
4 stored in memory of said computer machines;  
5        a processing station installed in a computer machine which loads a  
6 log of predetermined machine operation events into computer memory;  
7        a database management system installed in a computer machine  
8 configured to access, process and generate reports based on the machine  
9 operation events stored in said computer memory;  
10       wherein said processing station is linked to said plurality of local  
11 computer use meters.
- 1        2.     A computer use monitoring system according to claim 1,  
2 wherein said processing station is linked to said plurality of local computer  
3 use meters by an electronic communication channel.
- 1        3.     A computer use monitoring system according to claim 2,  
2 wherein said electronic communication channel is established based upon  
3 a predetermined criteria.
- 1        4.     A computer use monitoring system according to claim 3,  
2 wherein said predetermined criteria is periodic.
- 1        5.     A computer use monitoring system according to claim 3,  
2 wherein said predetermined criteria is based on the amount of memory  
3 occupied by said log.
- 1        6.     A computer use monitoring system according to claim 1,  
2 wherein said processing station is linked to said plurality of local computer  
3 use meters by means for transferring a log from a user computer machine

4 to a portable magnetic media and means for transferring said log from said  
5 portable magnetic media to processing station.

1        7. A computer use monitoring system according to claim 1,  
2 wherein said predetermined machine operation events include events  
3 corresponding to operating system call back messages.

1        8. A computer use monitoring system according to claim 1,  
2 wherein said predetermined machine operation events include events  
3 corresponding to an intercepted modem character string.

1        9. A computer use monitoring system according to claim 1,  
2 further comprising a dictionary file stored in computer memory associated  
3 with said processing station; and  
4 means for interpreting the logged machine operation events by  
5 reference to the dictionary file.

1        10. A computer use monitoring method comprising the steps of:  
2 eavesdropping on operating system messages and indicating receipt  
3 of predetermined types of messages responsive to an operating system  
4 messaging module;  
5 creating and storing an event log responsive to selected operating  
6 system messages;  
7 transferring one or more event logs from one or more computer  
8 systems to a central system; and  
9 analyzing the contents of said event logs.

1        11. A method for determining the nature of computer use by a  
2 plurality of computer systems comprising the steps of:  
3 generating a lot of machine operation events in each of a plurality  
4 of user computer systems;  
5 storing each of the events in said log in the local computer memory  
6 of said user computer systems;

7       transferring said stored events from said plurality of user computer  
8 systems to a processing station computer;  
9       loading said event logs into memory associated with said processing  
10 station computer;  
11       interpreting said event logs by reference to a dictionary stored in  
12 memory associated with said processing station computer; and  
13       compiling and reporting said interpreted computer event logs  
14 according to specified criteria.

1       12. A method for determining the nature of computer use by a  
2 plurality of computer systems according to claim 11, wherein said step of  
3 transferring is over an electronic communication channel.

1       13. A method for determining the nature of computer use by a  
2 plurality of computer systems according to claim 12, wherein said step of  
3 transferring is initiated based upon a predetermined criteria.

1       14. A method for determining the nature of computer use by a  
2 plurality of computer systems according to claim 13, wherein said  
3 predetermined criteria is periodic.

1       15. A method for determining the nature of computer use by a  
2 plurality of computer systems according to claim 13, wherein said  
3 predetermined criteria is based on the amount of memory occupied by said  
4 log.

1       16. A method for determining the nature of computer use by a  
2 plurality of computer systems according to claim 11, wherein the step of  
3 transferring comprises transferring a log from a user computer machine to  
4 a portable magnetic media and transferring said log from said portable  
5 magnetic media to said processing station computer.

1        17. A method for determining the nature of computer use by a  
2 plurality of computer systems according to claim 11, wherein said machine  
3 operation events include predetermined events corresponding to operating  
4 system call back messages.

1        18. A method for determining the nature of computer use by a  
2 plurality of computer systems according to claim 11, wherein said machine  
3 operation events include events corresponding to an intercepted modem  
4 character string.

1        19. A computer use monitoring method comprising the steps of:  
2 periodically auditing information displayed on a computer monitor;  
3 and  
4 creating and storing a log of labels indicative of usage activity.

1        20. A computer use monitoring method according to claim 19  
2 wherein said step of auditing polls information displayed by preselected  
3 computer application programs.

1        21. A computer use monitoring method according to claim 20  
2 wherein said preselected computer application programs are on-line service  
3 access and interface programs and said step of creating and storing said log  
4 of labels logs active display element titles.

1        22. A computer use monitoring method according to claim 19  
2 wherein said step of auditing monitors content of display elements and  
3 identifies display element content satisfying a predetermined criteria; and  
4 said step of creating and storing comprises logging the content of  
5 identified display elements.

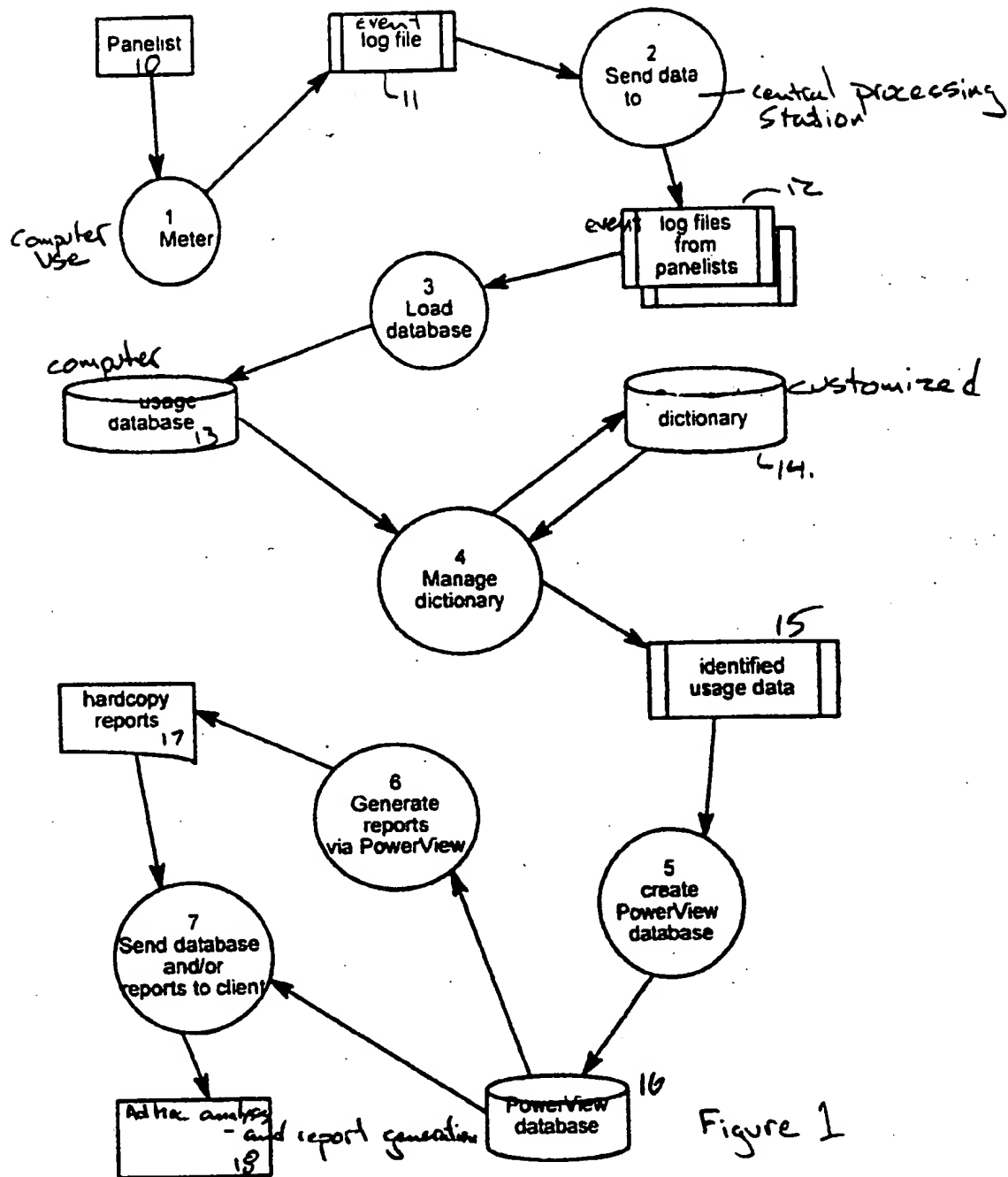
1        23. A computer use meter according to claim 22 wherein said  
2 display elements are edit boxes and said predetermined criteria is a  
3 predetermined syntax.

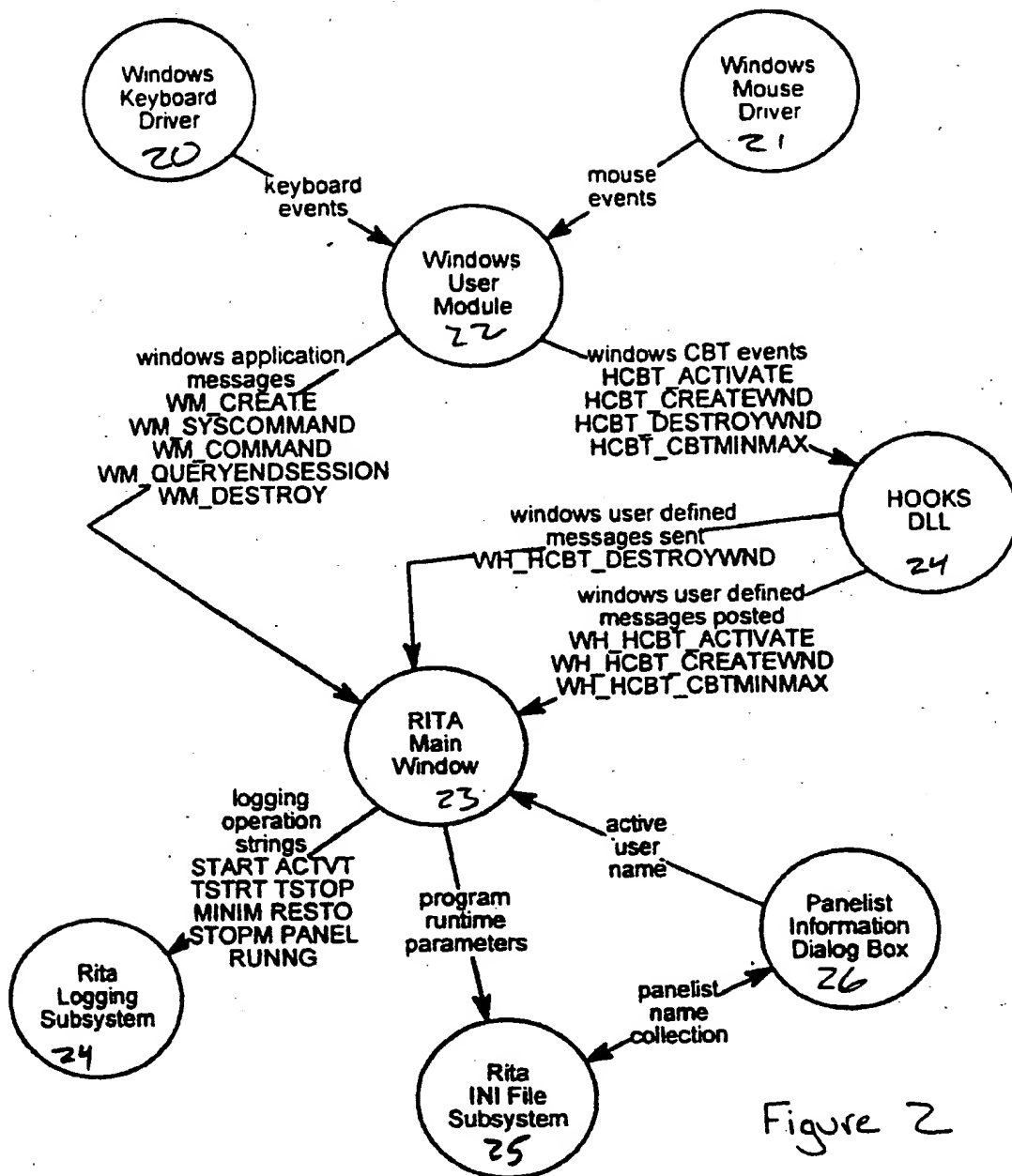


1        24. A computer use meter according to claim 23 wherein said syntax  
2 is a URL syntax.

1        25. A computer use meter according to claim 23 further  
2 comprising the step of eavesdropping on operating system messages and  
3 indicating receipt of predetermined types of messages responsive to an  
4 operating system messaging module.

1/4





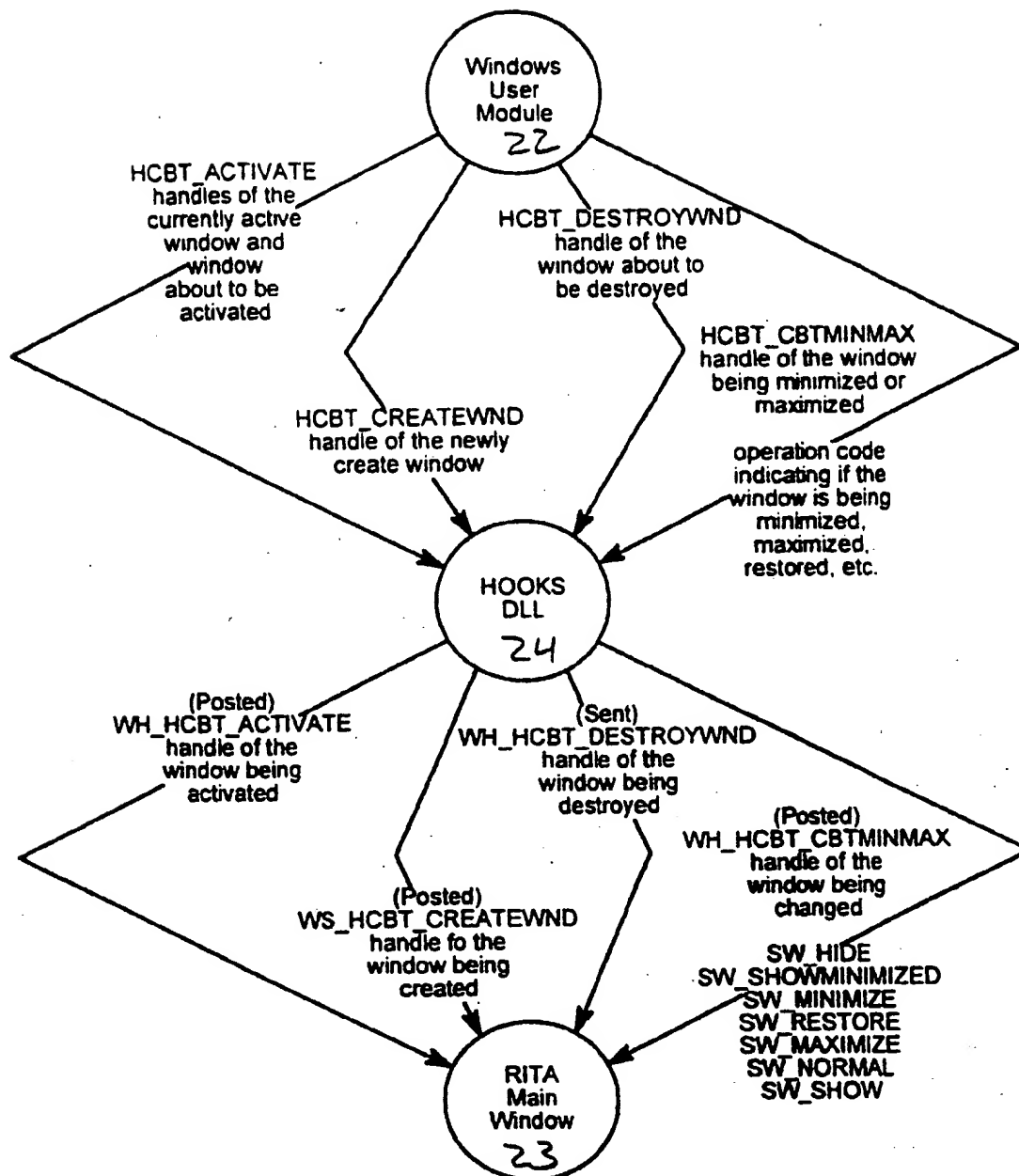


Figure 3.

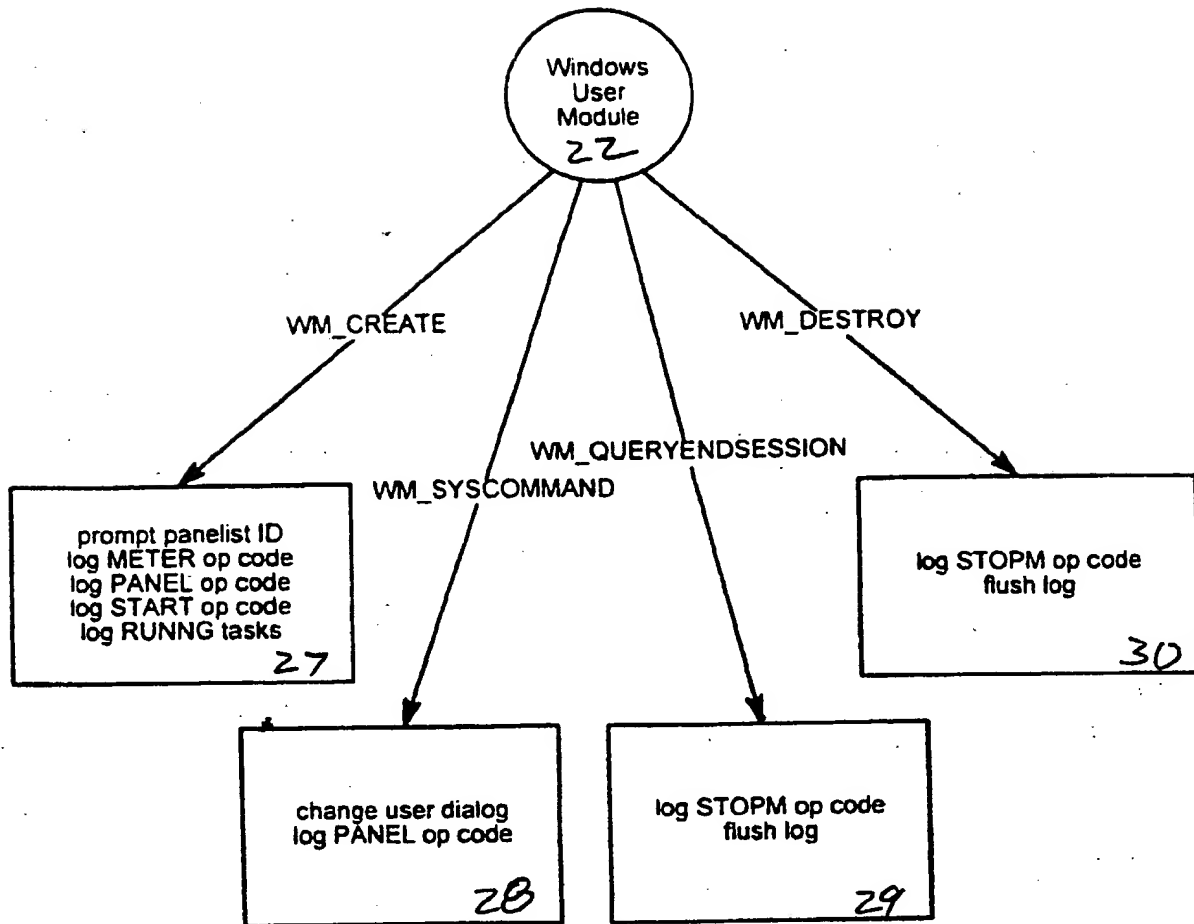


FIGURE 4

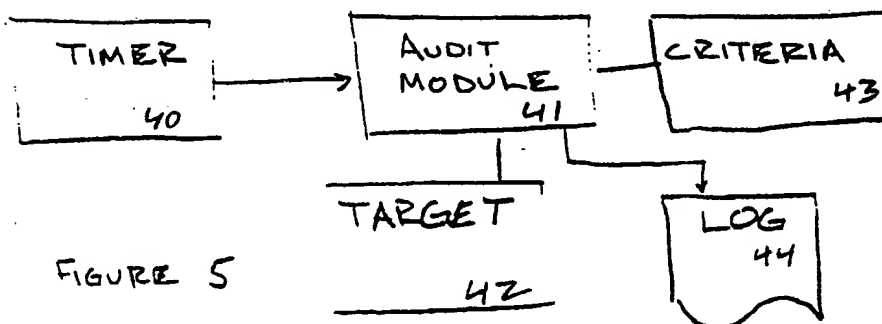


FIGURE 5

## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US96/10091**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) :H04Q 9/02

US CL :364/222.2, 222.5, 940.1; 395/600

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 364/222.2, 222.5, 222.81, 940.1; 395/600

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	US, A, 5,032,979 (HECHT ET AL.) 16 July 1991, abstract and col. 11.	1-12 and 16-18
X	US, A, 5,406,269 (BARAN) 11 April 1995, abstract and fig 1.	1-5 and 9-14
A	US, A, 4,827,508 (SHEAR) 02 May 1989, abstract.	1-25
A, P	US, A, 5,483,658 (GRUBE ET AL.) 09 January 1996, abstract.	1-25

☐ Further documents are listed in the continuation of Box C. ☐ See patent family annex.

	Special categories of cited documents:	"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"A"	document defining the general state of the art which is not considered to be part of particular relevance		
"E"	earlier document published on or after the international filing date	"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"L"	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"O"	document referring to an oral disclosure, use, exhibition or other means		
"P"	document published prior to the international filing date but later than the priority date claimed	"Z"	document member of the same patent family

Date of the actual completion of the international search

30 JULY 1996

Date of mailing of the international search report

18 SEP 1996

Name and mailing address of the ISA/US  
Commissioner of Patents and Trademarks  
Box PCT  
Washington, D.C. 20231

Facsimile No. (703) 305-3230

Authorized officer

CRAIG STEVEN MILLER

Telephone No. (703) 305-3800

**This Page is Inserted by IFW Indexing and Scanning  
Operations and is not part of the Official Record**

**BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

- ☒ **BLACK BORDERS**
- ☐ **IMAGE CUT OFF AT TOP, BOTTOM OR SIDES**
- ☐ **FADED TEXT OR DRAWING**
- ☐ **BLURRED OR ILLEGIBLE TEXT OR DRAWING**
- ☐ **SKEWED/SLANTED IMAGES**
- ☐ **COLOR OR BLACK AND WHITE PHOTOGRAPHS**
- ☐ **GRAY SCALE DOCUMENTS**
- ☐ **LINES OR MARKS ON ORIGINAL DOCUMENT**
- ☐ **REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY**
- ☐ **OTHER:** \_\_\_\_\_

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.**